



**BARNES & THORNBURG**

600 One Summit Square  
Fort Wayne, Indiana 46802  
(260) 423-9440

\$ AP 3600

PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Customer No.: 23641  
Application No.: 09/406290  
Confirmation No.: 1448  
Filing Date: September 24, 1999  
Group Art Unit: 3627  
Examiner Name: Christopher R. Buchanan  
Attorney Docket No.: 37168/82045  
First Named Inventor: Jeffrey K. Dellinger  
Title: METHOD AND APPARATUS  
FOR PROVIDING  
RETIREMENT INCOME  
BENEFITS

Certificate Under 37 CFR 1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

on July 28, 2003

*Stacey E. Tolar*  
Stacey E. Tolar

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AUG 04 2003

FEE TRANSMITTAL FOR FY 2003

**GROUP 3600**

**TOTAL AMOUNT OF PAYMENT: \$ 410.00**

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 CFR 1.16 and 1.17 and credit any overpayments to:

**Deposit Account Number: 02-1010 (37168/82045)**

**Deposit Account Name: Barnes & Thornburg**

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Check**      ☐ Credit Card      ☐ Money Order      ☐ Other ☐ None

## FEE CALCULATION

### 1. Basic Filing Fee:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1001	\$750.00	2001	\$375.00	Utility Filing Fee	
1006	\$750.00	2006	\$375.00	Utility Filing Fee (CPA)	
1002	\$330.00	2002	\$165.00	Design Filing Fee	
1007	\$330.00	2007	\$165.00	Design Filing Fee (CPA)	
1003	\$520.00	2003	\$260.00	Plant Filing Fee	
1004	\$750.00	2004	\$375.00	Reissue Filing Fee	
1005	\$160.00	2005	\$80.00	Provisional Filing Fee	
				SUBTOTAL (1)	0.00

### 2. Extra Claim Fees:

		Extra Claims	Fee from Below	Fee Paid
Total Claims	-20**=	x	=	0.00
Independent Claims	- 3**=	x	=	0.00
Multiple Dependent Claim			=	0.00
			SUBTOTAL (2)	0.00

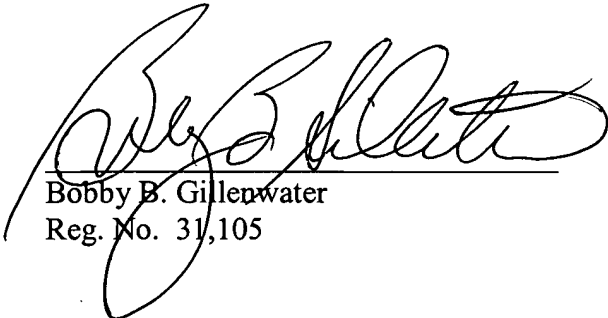
Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description
1202	\$18.00	2202	\$9.00	Claims in excess of 20
1201	\$84.00	2201	\$42.00	Independent claims in excess of 3
1203	\$280.00	2203	\$140.00	Multiple dependent claims, if not paid
1204	\$84.00	2204	\$42.00	** Reissue independent claims over original patent
1205	\$18.00	2205	\$9.00	** Reissue claims in excess of 20 and over original patent

\*\* or number previously paid, if greater; For Reissues, see above. For multiple dependent claims, pay fee only once no matter how many multiple dependent claims you have.

### 3. Additional Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1051	\$130.00	2051	\$65.00	Surcharge - late filing fee or oath	
1052	\$50.00	2052	\$25.00	Surcharge - late provisional filing fee or cover sheet	
1053	\$130.00	1053	\$130.00	Non-English specification	
1812	\$2,520.00	1812	\$2,520.00	For filing a request for ex parte reexamination	
1804	\$920.00*	1804	\$920.00*	Requesting publication of SIR prior to Examiner action	
1805	\$1,840.00*	1805	\$1,840.00*	Requesting publication of SIR after to	

				Examiner action	
1251	\$110.00	2251	\$55.00	Extension for reply within first month	
1252	\$410.00	2252	\$205.00	Extension for reply within second month	410.00
1253	\$930.00	2253	\$465.00	Extension for reply within third month	
1254	\$1,450.00	2254	\$725.00	Extension for reply within fourth month	
1255	\$1,970.00	2255	\$985.00	Extension for reply within fifth month	
1401	\$320.00	2401	\$160.00	Notice of Appeal	
1402	\$320.00	2402	\$160.00	Filing a brief in support of an appeal	
1403	\$280.00	2403	\$140.00	Request for oral hearing	
1451	\$1,510.00	1451	\$1,510.00	Petition to institute a public use proceeding	
1452	\$110.00	2452	\$55.00	Petition to revive - unavoidable	
1453	\$1,300.00	2453	\$650.00	Petition to revive - unintentional	
1501	\$1,300.00	2501	\$650.00	Utility issue fee	
1502	\$470.00	2502	\$235.00	Design issue fee	
1503	\$630.00	2503	\$315.00	Plant issue fee	
1504	\$300.00	1504	\$300.00	Publication Fee for early, voluntary, or normal Publication	
1460	\$130.00	1460	\$130.00	Petitions to the Commissioner	
1807	\$50.00	1807	\$50.00	Processing fee for provisional applications	
1806	\$180.00	1806	\$180.00	Submission of Information Disclosure Statement	
8021	\$40.00	8021	\$40.00	Recording each patent assignment per property (times number of properties)	
1809	\$750.00	2809	\$375.00	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	\$750.00	2810	\$375.00	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	\$750.00	2801	\$375.00	Request for Continued Examination (RCE)	
1802	\$900.00	1802	\$900.00	Request for expedited examination of a design application	
Other fee (specify): _____					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	410.00

  
 Bobby B. Gillenwater  
 Reg. No. 31,105

Date: July 28, 2003



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**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

**ENCLOSURES (check all that apply)**

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form      | <input type="checkbox"/> Express Abandonment Request                         |
| <input checked="" type="checkbox"/> Fee Attached              | <input type="checkbox"/> Information Disclosure Statement                    |
| <input checked="" type="checkbox"/> Amendment/Response        | <input type="checkbox"/> Certified Copy of Priority Document(s)              |
| <input checked="" type="checkbox"/> After Final               | <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |
| <input checked="" type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |
| <input checked="" type="checkbox"/> Extension of Time Request |  |

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-relating Papers
- ☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Small Entity Statement
- ☐ Request for Refund

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

☒ **Additional Enclosure(s)**  
(please identify below):

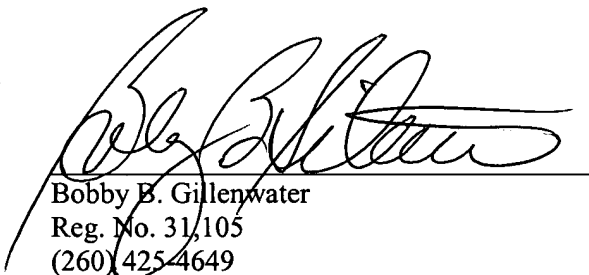
(a) **Receipt Postcard**

(b) \_\_\_\_\_

(c) \_\_\_\_\_

(d) \_\_\_\_\_

Remarks:

  
Bobby B. Gillenwater  
Reg. No. 31,105  
(260) 425-4649

Date: July 28, 2003

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